



Circular No.: IIDI IFSC/DP/2025-26/090

Date: April 07, 2025

To,

All Depository Participants,

Sir/Madam,

Subject: Submission Of Compliance Certificate by Depository Participants

As all Depository Participants are aware, as IFSC registered Intermediaries, Depository Participants are required to comply with the following:

- (a) Act / Regulations / Rules / Directives / Notification / Circulars / Clarifications / Guidelines issued by IFSCA, the Government of India, Regulatory Bodies and IIDI, from time to time.
- (b) The Bye Laws of IIDI.
- (c) IFSCA (Anti Money Laundering, Counter Terrorist-Financing and Know Your Customer) Guidelines, 2022, as updated from time to time and the Rules and Guidelines notified thereunder by Statutory / Regulatory Authorities.

In this regard, Depository Participants are advised to submit a 'Compliance Certificate' to IIDI in the format given in **Annexure I** (Compliance Certificate) duly signed and stamped by the Compliance Officer of the Depository Participants.

The first compliance certificate period [FY 2024-25] is to be submitted by May 31, 2025 and henceforth at the end of every quarterly interval of Financial years (April to June, July to September, October to December and January to March to be submitted by July 30, October 31, January 31 and April 30 of every year respectively).

The above-mentioned details are required to be submitted at dp.compliance@iidi.co.in and physical copies at IIDI registered office address as mentioned in respective Annexure I.

For more information/clarifications please contact the below official:

Department	Email address	Telephone Number
Inspection	dp.compliance@iidi.co.in	079 – 68320009

INDIA INTERNATIONAL DEPOSITORY IFSC LIMITED

(Formerly known as CDSL IFSC Limited)

Corporate / Registered Office: Unit-No.310 & 311,3rd Floor, Signature Tower, Block-13, Road 1C, Zone-1, GIFT SEZ, Gift City, Gandhinagar, Gujarat – 382355.

CIN: U65990GJ2021PLC121672 | **Phone:** 079 - 6674 0083 / 87 | <https://www.iidi.co.in>



For and on behalf of

INDIA INTERNATIONAL DEPOSITORY IFSC LIMITED

Vishal Joshi

Interim Chief Risk Officer

INDIA INTERNATIONAL DEPOSITORY IFSC LIMITED

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Annexure I - Compliance Certificate

(To be provided on DP's letterhead)

Date:

To

The Manager,

India International Depository IFSC Limited

306,310 & 311, 3rd Floor, Hiranandani Signature,

GIFT SEZ, GIFT City, Gandhinagar - 382355.

Dear Sir,

Sub: Compliance Certificate for the period _____ to _____

1. I _____ (name of the Compliance Officer) hereby certify that the depository operations of _____ (DP Name and DP Id) are in compliance with all applicable laws and especially with respect to the following:

(a) The Bye Laws of IIDI.

(b) Act / Regulations / Rules / Directives / Notifications / Circulars / Clarifications / Guidelines issued by IFSCA, the Government of India, Regulatory Bodies and IIDI, from time to time.

(c) IFSCA (Anti Money Laundering, Counter Terrorist-Financing and Know Your Customer) Guidelines, 2022, as updated from time to time and the Rules and Guidelines notified thereunder by Statutory / Regulatory Authorities.

2. Fit and Proper Status:

I undersigned, hereby confirm that the <DP Name>, its directors and the key management persons are fit and proper as per IFSCA directives issued from time to time.

3. Activities in which the Depository Participant has not been in Compliance, if any, are as under:

Sr. No.	Activities in which the Depository Participant has not been in compliance	Steps taken to ensure compliance in future
1		
2		

Name and Designation of Compliance Officer:

Signature of Compliance Officer:

Depository Participant Name and Depository Participant Id:

Stamp of the Depository Participant:

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